

# Quality Assurance – Implementation

Please provide evidence in support of each response.

## Strategic

### 1. Is the project pro-actively identifying changes to the external environment and incorporating them into the project strategy?

3: The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives and the assumptions have been tested to determine if the project's strategy is still valid. There is evidence that the project board has considered the implications, and documented any changes needed to the project in response. (all must be true)

2: The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes may not have been fully integrated in the project. (both must be true).

1: The project team may have considered relevant changes in the external environment since implementation began, but there is no evidence that the project team has considered changes to the project as a result.

El proyecto documentó los avances obtenidos en los procesos de recuperación post COVID-19 y realizó una revisión de los procesos, sus impactos y otros co-beneficios que resultan innovadores y que fortalecen la resiliencia comunitaria, que se presentó ante el Comité Directivo del proyecto y en este mismo año se presentará en un evento de presentación de resultados y anuncio de nuevas rutas de continuidad. De las 4 líneas de trabajo del proyecto se establece que:

1. Las acciones para fortalecer la seguridad alimentaria construyen resiliencia si en simultaneo aseguran la agrobiodiversidad local, protegen variedades de semillas, plantas y animales mejor adaptados a los entornos ambientales locales y sus prácticas de manejo son ecológicos e incluyen medidas de adaptación al cambio climático que reconocen los aportes de la producción de las mujeres y los hombres agricultores.
2. La seguridad hídrica requiere de la gestión colectiva desde su diseño hasta su mantenimiento, donde la participación comunitaria organizada es fundamental para asegurar la transferencia tecnológica, se vigile su uso y funcionamiento e involucre al gobierno local o gestores comunitarios del agua en su protección y sostenimiento técnico y financiero.
3. Las economías sociales son resilientes si incorporan mecanismos de sostenibilidad financiera en la cadena de valor que permitan la re-inversión y aceleren la formalización para acceder a la comercialización directa.
4. La coordinación multi-actoral y las alianzas estratégicas generan sinergias, disminución

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

## 2. Is the project aligned with the UNDP Strategic Plan?

3: The project responds at least one of the development settings as specified in the Strategic Plan (SP) and adopts at least one Signature Solution and the project's RRF includes at all the relevant SP output indicators. (all must be true)

2: The project responds to one of the three areas of development work as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)

1: While the project may respond to a partner's identified need, this need falls outside the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

### **\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

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**Solución emblemática 2: Fortalecer la gobernanza eficaz, inclusiva y responsable.** El proyecto fortalece las estructuras locales e intercomunitarias de organización y con las empresas sociales (redes, consejos, colectivos), en parte respetando los usos y costumbres, pero también fortaleciéndolas, aportando capacidades, recursos, herramientas y asesoría, en medio de la crisis de la pandemia COVID-19 y que además desarrollaron medidas adaptativas y capacidades que les permiten ser más autónomas y sostenibles en el tiempo.

**Solución emblemática 3: Mejorar la capacidad nacional de prevención y recuperación para sociedades resilientes.** La metodología de intervención del proyecto, desde un inicio, dentro de la evaluación de afectaciones y necesidades (EAN), tanto comunitaria como de empresas sociales por sector, incluyó el análisis de las vulnerabilidades que permitieron sufrir más pérdidas derivadas de la pandemia. Los planes de recuperación, a su vez, incluyeron medidas no sólo para reactivar las economías, sino también para reducir específicamente algunas de estas vulnerabilidades, ergo, para incrementar la resiliencia tanto a nivel comunitario, como de las empresas sociales por sector.

## Relevant

### **3. Are the project's targeted groups, and particularly those marginalized, vulnerable and left further behind (LNOB), being systematically engaged, with a priority focus on the discriminated and marginalized, to ensure the project leaves no one behind (LNOB) and remains relevant for them?**

3: Systematic and structured feedback has been collected over the past two years from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups are active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)

2: Targeted groups have been engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, has been

collected over the past year to ensure the project is addressing local priorities. This information has been used to inform project decision making. (all must be true)

1: Some beneficiary feedback may have been collected over the past year, but this information has not been used to inform project decision making. This option is also selected if no beneficiary feedback has been collected.

Not Applicable

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto trabajó en 106 comunidades con alto grado de marginación, durante los 2 años de implementación del proyecto se generó un sistema de monitoreo que permitió integrar un padrón de beneficiarios que desagregó género y pertenencia a grupos indígenas. Y que aporta información de cómo se generaron mejoras en las condiciones de las comunidades en seguridad alimentaria e hídrica; y en la recuperación económica de las empresas sociales.

**4. Is the project generating knowledge and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?**

3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring have been discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)

2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, have been considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)

1: There is limited or no evidence that knowledge and lessons learned have been collected by the project team. There is little or no evidence that this has informed project decision making.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

En la minuta de Junio 2023 con el Comité Directivo del proyecto se compartió los resultados, evidencias de mejores prácticas y procesos relevantes que pueden brindar luz a problemáticas comunes en los territorios rurales e indígenas tanto en materia de seguridad alimentaria, seguridad hídrica y de economías sociales. Esto ha derivado en un interés de continuar aportando fondos para la consolidación de procesos generadores de resiliencia en estos mismos temas.

**5. Is the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?**

3: There is credible evidence that the project is reaching a sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.

2: While the project is currently not at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).

1: The project is not at scale, and there are no plans currently to scale up the project in the future.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Durante la implementación del proyecto las revisiones sustantivas han requerido incrementar las metas originales y por lo tanto se reflejan en un incremento en los beneficiarios y beneficios alcanzados en el proyecto.

Actualmente, esta revisión sustantiva abre la puerta a invertir en los procesos de consolidación que permitan replicar o escalar lo aprendido por el proyecto durante la crisis de COVID – 19, incorporando medidas de resiliencia económica como el ahorro, cadenas solidarias u otros.

**Principled**

**6. Are the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and producing the intended effect? If not, evidence-based adjustments and changes have been made.**

3: The project team has systematically gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)

2: The project team has some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)

1: The project team has limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto identificó durante la implementación que las mujeres son agentes centrales en las acciones de recuperación de la seguridad alimentaria y de seguridad hídrica. Por ello, estos espacios de trabajo y seguimiento al desarrollo de las acciones se convirtieron en espacios de diálogo y empoderamiento de las mujeres que fueron quienes encabezaron la recuperación resiliente de las comunidades, al recuperar la producción de alimentos en los traspatios y de asegurar el acceso al agua para las familias. Esto quedó ampliamente documentado en los informes del proyecto y en los materiales de comunicación del proyecto (videos, notas de prensa, fotohistorias).

### **7. Are social and environmental impacts and risks being successfully managed and monitored?**

3: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there has been a substantive change to the project or change in context that affects risk levels, the SESP is updated to reflect these changes. (all must be true)

2: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project is categorized as Low risk through the SESP.

1: Social and environmental risks have not been tracked in the risk log. For projects categorized as High or Moderate Risk there is no evidence that social and environmental assessments have been completed and/or management plans or measures development, implemented or monitored. There have been substantive changes to the project or changes in the context but SESP has not been updated. (any may be true)

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Como cada año, se actualizaron los riesgos del proyecto, en este año se cargaron en la plataforma Quantum, que permitió establecer las nuevas vigencias de cada uno de estos. Al cierre de la pandemia, el riesgo que resurge es la temporada electoral y cambios en materia de seguridad en las regiones de trabajo del proyecto, mismos que están siendo revisados con apoyo de UNDSS.

### **8. Are grievance mechanisms available to project-affected people and are grievances (if any) addressed to ensure any perceived harm is effectively mitigated?**

3: Project-affected people have been actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project is categorized as High or Moderate Risk through the SESP, a project -level grievance mechanism is in place and project affected people

informed. If grievances have been received, they are effectively addressed in accordance with SRM Guidance. (all must be true)

2: Project-affected people have been informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project is categorized as High Risk through the SESP, a project -level grievance mechanism is in place and project affected people informed. If grievances have been received they are responded to but face challenges in arriving at a resolution.

1: Project-affected people not informed of UNDP's Corporate Accountability Mechanism. If grievances have been received they are not responded to. (any may be true)

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Las personas participantes y beneficiarias del proyecto tienen conocimiento del mecanismo por el que podrían extender alguna inconformidad a causa del proyecto. Dado que los procesos de trabajo parten de la co-construcción de las soluciones locales para la recuperación económica de las comunidades y de las empresas sociales, el proyecto anticipa cualquier condición de desacuerdo y se trabaja para prevenir conflictos.

El proyecto ha elaborado Social and Environmental Screening 2021 y que se actualizó cada año y también en 2023; que incluye la revisión de riesgos potenciales asociados a los derechos humanos, que permite prever desde la planeación los posibles impactos adversos, siendo los analizados de bajo riesgo. El proyecto incorpora el principio general "que nadie se quede atrás", revisando a detalle la población objetivo del proyecto y el ámbito de intervención que incorpore a las poblaciones en condición de mayor vulnerabilidad.

## Management and Monitoring

### 9. Is the project's M&E Plan sufficient and adequately implemented?

3: The project has a comprehensive and costed M&E plan. Baselines, targets and milestones are fully populated. Progress data against indicators in the project's RRF is being reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, including during evaluations and/or After Action Reviews, are used to take corrective actions when necessary. (all must be true)

2: The project has a costed M&E Plan, and most baselines and targets are populated. Progress data against indicators in the project's RRF is collected on a regular basis, although there may be some slippage in following the frequency stated in the Plan and data sources are not always reliable. Any evaluations conducted, if relevant, meet most decentralized evaluation standards. Lessons learned have been captured but may not have been used to take corrective actions yet. (all must be true)

1: The project has an M&E Plan, but costs are not clearly planned and budgeted for, or are unrealistic. Progress data is not being regularly collected against the indicators in the project's RRF. Evaluations may not meet decentralized evaluation standards. Lessons learned are rarely captured and used. Select this option also if the project does not have an M&E plan.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto generó desde el inicio un sistema de seguimiento que permitió generar información de línea de base y de revisión progresiva de los avances hasta completar las metas comprometidas, algunas de las que fueron ampliadas en la revisión sustantiva del proyecto, para reflejar la evolución alcanzada. Se cuentan con informes finales de los alcances para cada resultado comprometido.

**10. Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?**

3: The project's governance mechanism is operating well, and is a model for other projects. It has met in the agreed frequency stated in the project document and the minutes of the meetings are on file. There is regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviews and uses evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)

2: The project's governance mechanism has met in the agreed frequency and the minutes of the meeting are on file. A project progress report has been submitted to the project board or equivalent at least once in the past year, covering results, risks and opportunities. (both must be true to select this option)

1: The project's governance mechanism has not met in the frequency stated in the project document over the past year and/or the project board or equivalent is not functioning as a decision-making body for the project as intended.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Durante la vigencia del proyecto, la Junta de Gobierno se ha reunido anualmente. En las minutas se ofrece evidencia de la presentación de informes de avances, solicitudes de ampliación en metas del proyecto, incremento en los recursos disponibles, revisión de aprendizajes y cómo esto genera ajustes para mejorar la implementación.

**11. Are risks to the project adequately monitored and managed?**

3: The project has actively monitored risks every quarter including consulting with key stakeholders, including security advisors, to identify continuing and emerging risks and to assess if the main assumptions remain valid. There is clear evidence that relevant management plans and mitigating measures are being fully implemented to address each key project risk, and have been updated to reflect the latest risk assessment. (all must be true)

2: The project has monitored risks every year, as evidenced by an updated risk log. Some updates have been made to management plans and mitigation measures.

1: The risk log has not been updated as required. There may be some evidence that the project has monitored risks (including security risks or incidents) that may affect the project's achievement of results, but there is no explicit evidence that management actions have been taken to mitigate risks.

In the case of a deteriorating security environment, no consultation has occurred with the UNDP Security Office on appropriate measures.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto reporta en Quantum la actualización de los riesgos identificados para el proyecto y ha adecuado su vigencia. También se han detectado nuevos riesgos en el ámbito geográfico de intervención del proyecto asociados al incremento de la inseguridad pública que derivaron en la búsqueda de asesoría con UNDSS y generaron un taller y la replicación del mismo con beneficiarios y otros socios del proyecto.

## Efficient

**12. Adequate resources have been mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.**

Yes  No

**\*Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se logró la ejecución del 100% de los recursos del proyecto, dedicados a la recuperación post COVID-19. Al cierre de esta fase 2, se encuentra interés de donantes en continuar apoyando los procesos en una fase que consolide la resiliencia económica a nivel local, mismos que han permitido ampliar la vigencia del proyecto. Este 2023 no se requirió plan de adquisiciones, pero se lleva adecuadamente la operación de los saldos a través de Quantum para pagar servicios de despacho para mecanismos de calidad del proyecto como Spotcheck, Auditoría y entre otros.

**13. Are project inputs procured and delivered on time to efficiently contribute to results?**

3: The project has an updated procurement plan. Implementation of the plan is on or ahead of schedule. The project quarterly reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)

2: The project has an updated procurement plan. The project annually reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)

1: The project does not have an updated procurement plan. The project may or may not have reviewed operational bottlenecks to procuring inputs in a timely manner, however management actions have not been taken to address them.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto no tuvo adquisiciones en 2023; al concluirse el 2022 se realizaron todas las adquisiciones planeadas en tiempo y forma.

**14. Is there regular monitoring and recording of cost efficiencies taking into account the expected quality of results?**

3: There is evidence that the project regularly reviews costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximizes results that can be delivered with given resources. The project actively coordinates with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and seek efficiencies wherever possible (e.g. joint activities.) (both must be true)

2: The project monitors its own costs and gives anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there is no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinates activities with other projects to achieve cost efficiency gains.

1: There is little or no evidence that the project monitors its own costs and is considering ways to save money beyond following standard procurement rules.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto revisa los costos del proyecto en estricto apego a la normativa del PNUD de comparación de precios y calidad de los productos o servicios necesarios para el proyecto. Este proyecto cuenta con socias responsables que han recibido revisiones de los procesos de Spotcheck, Microassessment y Auditoría que han sido coordinados por el área de finanzas para garantizar la eficiencia financiera.

**Effective**

**15. Is the project is on track to deliver its expected outputs?**

Yes       No

**\*Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto ha entregado a junio 2023 el 100% de las metas originalmente planeadas y de los resultados comprometidos; así que se autorizó a través de una revisión sustantiva una ampliación en nuevas metas e indicadores para procesos de consolidación.

**16. Have there been regular reviews of the work plan to ensure that the project is on track to achieve the desired results, and to inform course corrections if needed?**

3: Quarterly progress data has informed regular reviews of the project work plan to ensure that the activities implemented are most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations and/or After Action Reviews) have been used to inform course corrections, as needed. Any necessary budget revisions have been made. (both must be true)

2: There has been at least one review of the work plan per year to assess if project activities are on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned has been used to inform the review(s). Any necessary budget revisions have been made.

1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs are delivered on time, no link has been made to the delivery of desired development results. Select this option also if no review of the work plan by management has taken place over the past year.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto ha presentado los informes de progreso que permiten informar del adecuado avance hacia los resultados deseados. Se han generado otros materiales como videos que dan cuenta de los alcances del trabajo desde la voz de los beneficiarios. También se realizaron las revisiones al plan de trabajo y las revisiones presupuestales necesarias.

**17. Are targeted groups, and particularly those marginalized, vulnerable and left further behind (LNOB), being systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results are achieved as expected?**

3: The project is targeting specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups are being reached as intended. The project has engaged regularly with targeted groups over the past year to assess whether they are benefiting as expected and adjustments were made if necessary to refine targeting. (all must be true)

2: The project is targeting specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There has been some engagement with beneficiaries in the past year to assess whether they are benefiting as expected. (all must be true)

1: The project does not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are deprived and/or excluded from development opportunities relevant to the project area of work. There may have been some engagement with beneficiaries to assess whether they are benefiting as expected, but it has been limited or has not occurred in the past year.

Not Applicable

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto seleccionó el ámbito de integración geográfica considerando los municipios de alta de marginación según la CONAPO y generando una caracterización de la población objetivo. Así, desde el principio fue posible focalizar la intervención a grupos de población vulnerables sin que nadie se quede atrás.

**18. If there is a digital or data technology solution in the project: is the implementation in line with good practices to manage technology and data risks, like UNDP's digital standards and data principles**

3: Yes, a) the implementation follows good practices to manage technology and data risks, such as: closing the digital divide and balancing information asymmetries; driven by user demand; b) sustainability and scalability are considered from the start; c) re-using proven technologies where possible and data is managed across the lifecycle in line with the UNDP data guiderails. (All must be true)

2: Technology and data risks are managed appropriately. UNDP's digital standards and data principles are followed as much as possible, and deviations can be justified.

1: Standard UNDP project risk management is applied but no specific practices to address technology risks are followed.

The project does not utilize a data or digital technology solution.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Las bases de datos generadas en los sistemas de seguimiento fueron manejadas con prácticas de gobernanza de datos en los que con datos sensibles de ingresos recuperados acordó con las organizaciones y empresas participantes del proceso de recuperación de ingresos, no fueran públicas en prevención a que estas informaciones les pusieran en riesgos ante delitos como robos o extorsiones en sus regiones. También se aprovecharon plataformas existentes para la generación y procesamiento de los datos como KoboToolbox y compartir las encuestas y datos de modo que puedan ser transferidas y usadas de manera autónoma por las organizaciones. En 2023, se trabajó en un primer piloto de monitoreo de incidentes de seguridad con empresas sociales en la Península de Yucatán y una encuesta de capacidades en mecanismos de resiliencia económica y de conocimientos del enfoque de género con grupos de mujeres de Oaxaca, Chiapas y Península de Yucatán.

**Sustainability and National Ownership**

**19. Are stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?**

3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) are used to fully implement and monitor the project. All relevant stakeholders and partners are fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)

2: National systems (i.e., procurement, monitoring, evaluation, etc.) are used to implement and monitor the project, but other support (such as country office support or project systems) may also be used if necessary. All relevant stakeholders and partners are fully and actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)

1: There is relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.

Not Applicable

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El monitoreo del proyecto se realiza desde las plataformas del PNUD México y este año desde Quantum. En 2022, se realizaron de acuerdo a las políticas HACT, la revisión administrativa de los fondos aplicados en 2021 y de capacidades de los socios de implementación a través de dos procedimientos Spotcheck y Microassessment; y durante 2023 se revisó el ejercicio 2022, a través de Spotcheck y Auditoría (aún no entrega Finanzas el reporte final) con los socios de implementación que son organizaciones civiles nacionales con amplia experiencia y también se integraron informes de los donativos recibidos a Fomento Social Banamex, Fundación Kellogg y Fundación Naciones Unidas.

**20. There is regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed. The implementation arrangements have been adjusted according to changes in partner capacities.**

3: In the past two years, changes in capacities and performance of institutions and systems have been comprehensively assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements have been formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (both must be true)

2: In the past two years, aspects of changes in capacities and performance of relevant national institutions and systems have been monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment has been made to implementation arrangements if needed to reflect changes in partner capacities. (both must be true)

1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.

Not Applicable

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Para revisar el adecuado proceso de implementación desde 2021 y hasta 2023, los socios de implementación recibieron según las reglas HACT los procesos de aseguramiento de calidad a través de mecanismos como el Spotcheck, Microassessment y Auditoría; en cada caso los socios de implementación han recibido recomendaciones para robustecer los procesos y también para mantener aquellos que funcionan con eficiencia y calidad. En todos los casos, los socios han generado mejoras en sus procesos administrativos que garanticen la correcta ejecución de recursos.

**21. The transition and phase-out arrangements are reviewed regularly and adjusted according to progress (including financial commitments and capacity).**

3: The project's governance mechanism has reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan. The plan has been adjusted according to progress as needed. (both must be true)

2: There has been a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan.

1: The project may have a sustainability plan, but there has not been a review of this strategy since it was developed. Also select this option if the project does not have a sustainability strategy.

**\*Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

La Junta Directiva del proyecto ha sido informada sobre los avances, disponibilidad de recurso y los planes de continuidad técnicos y financieros, acordes a las oportunidades de financiamiento existentes en 2024, por lo que se elaboró una revisión sustantiva que extiende el proyecto a junio 2025.